



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

David A. BOLNICK, et al.

Appl. No. 09/829,937

Confirmation No. 7702

Filed: April 10, 2001

For: SYSTEM, METHOD AND
COMPUTER PROGRAM
PRODUCT FOR GATHERING
AND DELIVERING
PERSONALIZED USER
INFORMATION

Art Unit: 2131

Examiner: (Unassigned)

Atty. Docket No.: 35850-165999

Customer No.:



26694

PATENT TRADEMARK OFFICE

**Response to Notice to File Missing Parts
Attention: BOX MISSING PARTS**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to file Missing Parts of Non-Provisional Application mailed April 4, 2001 submitted herewith are:

- ☒ ***Declaration and Power of Attorney in compliance with 37 C.F.R. § 1.63;***
- ☐ Basic filing fee of \$355.00 (for small entity);
- ☐ Additional claim fee of \$54 for three (3) claims over 20;
- ☐ Additional claim fee of \$80 for one (1) independent claim over 3;
- ☒ ***Late filing fee of \$65.00 (small entity status)***
- ☒ ***Copy of the Notice to file Missing Parts of Non-Provisional Application mailed May 17, 2001***

TOTAL FEE SUBMITTED: \$65.00

Also submitted herewith is:

- ☒ *Substitute drawings in compliance with 37 CFR §1.84 (Figs. 1 - 17/19 sheets);*
- ☐ Substitute specification in compliance with 37 CFR §1.52
- ☐ Revised Non-Provisional Patent Application Transmittal Letter indicating small entity status;
- ☐ Preliminary Amendment;
- ☐ Assignment Cover Sheet and Assignment (with \$40.00 fee).

If a greater or lesser fee is required, PTO is authorized to charge and/or credit Deposit Account No. 22-0261. For any further information, please contact me at the address listed below.

Respectfully submitted,

VENABLE, BAETJER, HOWARD & CIVILETTI, L.L.P.



Ralph P. Albrecht
Attorney for Applicant
Registration No. 43,466

VENABLE
P.O. Box 34385
Washington, D.C. 20005-3917
Telephone: (202) 962-4045
Facsimile: (202) 962-8300

Date Filed: June 21, 2001

H 7A

~~SECTOR~~
#3



FILING RECEIPT

PLEASE DATE STAMP AND RETURN TO US - BOX 34385

In re application of:

David A. BOLNICK
Robert M. KRAUTHAMER
Steven ESSES

Appl. No. 09/829,937
Confirmation No. 7702

Filed: April 10, 2001

For: SYSTEM, METHOD AND
COMPUTER PROGRAM
PRODUCT FOR GATHERING
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PERSONZLIED USER
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PATENT TRADEMARK OFFICE

PAPER(S) FILED ENTITLED:

1. Response to Notice to File Missing Parts (w/Fee Transmittal, Declaration & Power of Attorney and Substitute Drawings)
2. Fee Transmittal Sheet

VENABLE
1201 New York Avenue, N.W.
Suite 1000
Washington, D.C. 20005-3917
Telephone: (202) 962-4800
Facsimile: (202) 962-8300

DOCKET NO.: 35850-165999
ATTORNEY/SEC: RPA/lpm

Date Filed: June 21, 2001

Venable Filing No. 9729 (late filing)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/829,937	04/11/2001	David A. Bolnick	35850-165999

Venable
P.O. Box 34385
Washington, DC 20043-9998



CONFIRMATION NO. 7702

FORMALITIES LETTER



OC000000006085669

Date Mailed: 05/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/26/2001 ETULU1 00000014 09829937

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*A copy of this notice **MUST** be returned with the reply.*

Man

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

FEE TRANSMITTAL for FY 2001

TOTAL AMOUNT OF PAYMENT (\$ 65

Complete if Known

Application Number 09/829,937
 Filing Date April 10, 2001
 First Named Inventor David A. BOLNICK, et al.
 Examiner Name (Unassigned)
 Group / Art Unit 2131
 Attorney Docket No. 35850-165999

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

22-0261

Deposit
Account
Name

VENABLE

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1)

(\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
	0	X	0
Independent Claims			
	0	X	0
Multiple Dependent			
		X	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 0

FEE CALCULATION (continued)

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 65

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) RALPH P. ALBRECHT Registration No. Attorney/Agent 43,466 Telephone (202) 962-4800
 Signature *Ralph P. Albrecht* Date June 21, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

VENABLE
ATTORNEYS AT LAW